

Appendix B to 19 October 2022 Audit

Status	Audit & Scope	Schedule
<p>Carry forward from 2021-22</p>	<p>Educational Assistant Deployment</p> <p>This engagement is in alignment with the board's Strategic Enterprise Risk Management (SERM) Corporate Risk Profile which identified staff well-being, under Culture of Caring, as one of the top threats in the portfolio.</p> <p>This engagement also aligns with the Region-Wide Strategic Risk Assessment which identified student and staff health and safety as a priority. More specifically, the presence of appropriate controls and capabilities to best ensure student and staff well being and safety.</p> <p>The scope is to provide Management with a current assessment of the deployment of Educational Assistants and challenges faced relating to an increase in student aggression and challenging behaviours.</p>	<p>March 2021 - met with Senior Management</p> <p>Postponed to 2021-22 per Management's request.</p> <p>March 10th 2022 – met with Senior Management to discuss engagement.</p> <p>March 31st 2022 - met with System Principals to conduct process review.</p>

			<p>April 25th to 28th 2022 – Interviews of Learning Support Consultants and observation of EA allocation meetings with System Principals</p> <p>September/October 2022 – present update to Audit Committee</p>	
<p>Carry forward from 2021-22</p>		<p>Covid 19 Response Review</p> <p>This engagement is in-line with the Board’s risk profile on crisis management.</p> <p>The scope focuses on the strengths and opportunities relating to the Boards’ preparation or plan activation, response and transition to the new “normal”. A report will be prepared which will prioritize recommendations to provide the Board with a road map moving forward.</p>	<p>Terms of Reference approved</p> <p>Postponed to 2021-22 per Management’s request</p> <p>June 20th and July 12th 2022 – met with Senior Management to discuss engagement</p> <p>July 15th 2022 – Terms of Reference with sub-contractor (MNP, LLP) approved</p> <p>October 18th to November 8th – Interviews with key board personnel arranged.</p>	

2022-23	<p>Safe Schools</p> <p>This engagement focuses on capacity, processes and systems in place to communicate, identify, protect and respond to issues relating to student’s health, with a focus on well-being, mental health and bullying.</p> <p>This engagement is performed and well received by other RIATs in the province.</p>	TBD
2022-23	<p>Legislative compliance</p> <p>This is a Board-wide risk assessment of the school board’s compliance with relevant legislations and regulations. It further documents all existing internal controls in place for each of the highest risks and enables Management to formally express an informed opinion on its compliance level.</p> <p>This engagement was performed and well received by other RIATs in the province.</p>	TBD
2022-23	<p>Follow-up Procedures</p> <p>This is a recurring item on RIAT’s annual plan.</p> <p>RIAT continues to follow-up on the remaining recommendation, as they come due.</p>	Ongoing
As Needed	<p>Management Request Engagement</p> <p>To cover any important items that may be required to during the school year.</p>	If Requested