

Subject:	Regional Internal Audit Update – Public Session							
Presented by:	Geneviève Segu, Regional Internal Audit Manager Gord Champagne, Senior Internal Auditor							
Meeting Date:	November 23, 2020							
Purpose	<p>To provide the Audit Committee with an update from the Regional Internal Audit Manager (RIAM) on the progress of the 2020-21 RIAT work.</p> <p>This report links with Regulation 361/10 in relation to the Audit Committee's duties to the Internal Auditor 9(3) and the Board's Internal Controls 9(2).</p>							
Content	<p>2020-2021 Regional Internal Audit Plan Update</p> <p>The Audit Committee approved the 2020-21 Audit Plan at the October 21, 2020 meeting. The following presents an update on the engagements:</p> <table border="1"> <thead> <tr> <th>Status</th><th>Audit & Scope</th><th>Schedule</th></tr> </thead> <tbody> <tr> <td>In Progress</td><td> <p>Cyber Security Review</p> <p>This engagement aligns with the region-wide Strategic Risk Assessment which identified Cybersecurity as both a risk and an opportunity to promote the School Boards ability to safeguard IT systems to protect information and avoid service disruption.</p> <p>A virtual workshop with all Ontario East School Boards Information Technology leads, took place on October 8th & 9th, 2020.</p> <p>The goal of the virtual workshop was to identify business drivers, critical assets, and perform an IT risk assessment.</p> <p>The output will provide the school board with a list of controls to prioritize in the cybersecurity framework.</p> </td><td> <p>28 Feb 2020: Presented the project to EOIT Managers.</p> <p>8-9 Oct 2020: virtual workshop</p> <p>Currently: Finalizing results.</p> <p>Next Steps: Meet with EOIT Managers to validate priorities for each School Board.</p> </td></tr> </tbody> </table>		Status	Audit & Scope	Schedule	In Progress	<p>Cyber Security Review</p> <p>This engagement aligns with the region-wide Strategic Risk Assessment which identified Cybersecurity as both a risk and an opportunity to promote the School Boards ability to safeguard IT systems to protect information and avoid service disruption.</p> <p>A virtual workshop with all Ontario East School Boards Information Technology leads, took place on October 8th & 9th, 2020.</p> <p>The goal of the virtual workshop was to identify business drivers, critical assets, and perform an IT risk assessment.</p> <p>The output will provide the school board with a list of controls to prioritize in the cybersecurity framework.</p>	<p>28 Feb 2020: Presented the project to EOIT Managers.</p> <p>8-9 Oct 2020: virtual workshop</p> <p>Currently: Finalizing results.</p> <p>Next Steps: Meet with EOIT Managers to validate priorities for each School Board.</p>
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	In Progress	Follow-up Procedures RIAT continues to follow-up on the remaining recommendations, as they come due.	Ongoing
	Not Initiated	Management Request Engagement To cover any important items that may be required to during the school year.	If requested
	In Progress	Covid Response Review Reflect on response to Covid to-date and integrate the lessons learned to improve the emergency preparedness / crisis management process. It is a way to collectively learn quickly from an event and integrate improvements to generate better outcomes in the short, medium and long term. Utilize real-time improvements to response planning, processes and workflows.	Nov 10, 2020: Terms of Reference sent. Next Step: Kick-off meeting
	Not Initiated	Educational Assistant Deployment Engagement This engagement is in alignment with the board's Strategic Enterprise Risk Management (SERM) Corporate Risk Profile which identified staff well-being, under Culture of Caring, as one of the top threats in the portfolio. This engagement also aligns with the Region-Wide Strategic Risk Assessment which identified student and staff health and safety as a priority. More specifically, the presence of appropriate controls and capabilities to best ensure student and staff well being and	TBD

		<p>safety.</p> <p>The scope is to provide Management with a current assessment of the deployment of Educational Assistants and challenges faced relating to an increase in student aggression and challenging behaviours.</p>		
Recommendation	<p>That the Ottawa-Carleton District School Board Audit Committee receives for information the November 23rd 2020 RIAT update.</p>			